

Unambiguous Morphic Images of Strings

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The task

Task:

Map a finite string α over an **infinite alphabet** onto a finite word w over a **binary alphabet** such that w **reflects the structure of α "optimally"**.

Motivation

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Choose an **injective morphism** (i.e. a **code**) σ .

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A mapping $\sigma: \mathbb{N}^* \rightarrow \{\mathbf{a}, \mathbf{b}\}^*$ that satisfies $\sigma(\alpha \beta) = \sigma(\alpha) \sigma(\beta)$ for every $\alpha, \beta \in \mathbb{N}^*$.

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A mapping $\sigma: \mathbb{N}^* \rightarrow \{a, b\}^*$ that satisfies $\sigma(\alpha \beta) = \sigma(\alpha) \sigma(\beta)$ for every $\alpha, \beta \in \mathbb{N}^*$.

Example: Let $\alpha = 1\ 2\ 3\ 4\ 1\ 4\ 3\ 2$. Let $\sigma(i) = \mathbf{ab}^i$.

$$\Rightarrow \sigma(\alpha) = \mathbf{ab\ ab^2\ ab^3\ ab^4\ ab\ ab^4\ ab^3\ ab^2}$$

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Ambiguity of words

Assumption: We are confronted with a variety of morphic images of a single (unknown) string α (\rightarrow inductive inference of pattern languages, PCP).

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\Rightarrow The example code leads to an ambiguous image.
It does not prove the existence of the symbol "2" in α .

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\Rightarrow The example code leads to an **ambiguous** image.
It does not prove the existence of the symbol "2" in α .

Note: Ambiguity of morphic images can cause that, even in a weak sense, "decoding" is a **non-computable** problem [Reidenbach, 2002].

A simple combinatorial question on morphisms in free monoids

Question:

For which pattern α is there a morphism $\sigma: \mathbb{N}^+ \rightarrow \{\mathbf{a}, \mathbf{b}\}^*$ such that $\sigma(\alpha)$ is **unambiguous**, i.e. there is no morphism $\rho: \mathbb{N}^+ \rightarrow \{\mathbf{a}, \mathbf{b}\}^*$ with, for some variable i in α , $\rho(i) \neq \sigma(i)$ and $\rho(\alpha) = \sigma(\alpha)$?

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A simple combinatorial question on morphisms in free monoids

A string in \mathbb{N}^+ .

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A symbol in \mathbb{N} .

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Remark: Question can easily be rephrased in terms of, e.g.,

- **pattern languages** and
- the Post Correspondence Problem, i.e. **equality sets**.

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Remark: Question can easily be rephrased in terms of, e.g.,

- **pattern languages** and
- the Post Correspondence Problem, i.e. **equality sets**.

Additionally, we provide an answer on **finite fixed points** of nontrivial morphisms...

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Is this a trivial problem?

Examples suggest that this might be a nontrivial problem:

- Let $\alpha = 1\ 2\ 3\ 4\ 1\ 4\ 3\ 2$.
 - \Rightarrow We know that the image of the morphism $\sigma(i) = ab^i$ is **ambiguous**.
 - aabbabba** is **unambiguous**, but the corresponding morphism is **not injective**.
 - By the way, **abbaaabb** is **ambiguous**...

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 - aabbabba** is **unambiguous**, but the corresponding morphism is **not injective**.
 - By the way, **abbaaabb** is **ambiguous**...
- Let $\alpha = 1\ 2$.
 - \Rightarrow Evidently, there is **no unambiguous** morphic image:
Let $\sigma(1) \neq \varepsilon$. Then, with $\rho(1) = \varepsilon$ and $\rho(2) = \sigma(1)\sigma(2)$,
 $\rho \neq \sigma$ and $\rho(\alpha) = \sigma(\alpha)$.

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A first negative result and its consequences

Theorem:

There is **no nonerasing** morphism σ such that, for every pattern α , $\sigma(\alpha)$ is unambiguous.

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Remarks:

Obviously, for each pattern $\alpha = ij$ (with $i, j \in \mathbb{N}$ and $i \neq j$) and for each nonerasing morphism σ , $\sigma(\alpha)$ is unambiguous.

We should generalise the structure of α ...

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A crucial (and natural) partition of \mathbb{N}^+

Definition:

We call any $\alpha \in \mathbb{N}^+$ **prolix** iff there exists a decomposition

$$\alpha = \beta_0 \gamma_1 \beta_1 \gamma_2 \beta_2 \dots \beta_{n-1} \gamma_n \beta_n$$

with $n \geq 1$, $\beta_k \in \mathbb{N}^*$ and $\gamma_k \in \mathbb{N}^+$, $k \leq n$, such that

1. for every k , $1 \leq k \leq n$, $|\gamma_k| \geq 2$,
2. for every k , $1 \leq k \leq n$, and for every k' , $0 \leq k' \leq n$,
 $\text{var}(\gamma_k) \cap \text{var}(\beta_{k'}) = \emptyset$ and
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that $|\gamma_k|_i = 1$ and, for every k' , $1 \leq k' \leq n$,
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We call $\alpha \in \mathbb{N}^+$ **succinct** iff it is not prolix.

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Examples: 121231144311 is prolix.

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Examples: 121231144311 is prolix. 12122 is succinct.



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Why is this a natural partition?

A succinct pattern is a shortest generator of a **terminal-free E-pattern language**:

Theorem [Reidenbach, 2002]:

A pattern α is succinct if and only if, for every pattern α' with $L(\alpha') = L(\alpha)$, $|\alpha'| \geq |\alpha|$.

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The set of prolix patterns exactly corresponds to the set of **finite fixed points** of nontrivial morphisms [Head, 1981]:

Theorem [Head, 1981]:

A pattern α is prolix if and only if there exists a morphism $\varphi: \mathbb{N}^* \rightarrow \mathbb{N}^*$ such that $\varphi \neq \text{id}$ and $\varphi(\alpha) = \alpha$.

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Unambiguous words for prolix patterns? No...

Theorem:

For any **prolix** pattern α and for any **nonerasing** morphism σ , $\sigma(\alpha)$ is ambiguous.

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Proof:

α is prolix

\Rightarrow there is a φ with $\varphi(\alpha) = \alpha$ and $\varphi(i) = \varepsilon$ for some i in $\text{var}(\alpha)$

\Rightarrow for every σ define $\rho = \sigma \circ \varphi$

$\Rightarrow \rho(\alpha) = \sigma(\alpha)$, but ρ is not nonerasing

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...and yes:

If we omit the claim that σ must be nonerasing then some prolix patterns have an unambiguous image, some have not.

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A first approach to succinct patterns

Proposition:

There is **no nonerasing** morphism σ such that, for every **succinct** pattern α , $\sigma(\alpha)$ is unambiguous.

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A first approach to succinct patterns

Proposition:

There is **no nonerasing** morphism σ such that, for every **succinct** pattern α , $\sigma(\alpha)$ is unambiguous.

Proof idea:

Particular types of (sub-)patterns in α are required such as

$$\alpha = \dots j \ k \ j \ k' \ j' \ k \ j' \ k' \dots,$$

with no other occurrences of these variables.

For every nonerasing morphism σ we can find a pattern α such that $\sigma(\alpha)$ is not unambiguous.

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The main result

Theorem:

For every **succinct** pattern α , there is an **injective** morphism σ_α such that $\sigma_\alpha(\alpha)$ is unambiguous.

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The main result

Theorem:

For every **succinct** pattern α , there is an **injective** morphism σ_α such that $\sigma_\alpha(\alpha)$ is unambiguous.

This leads to a third **characterisation** of succinctness:

Corollary:

A pattern α is succinct if and only if there exists an injective morphism σ such that $\sigma(\alpha)$ is unambiguous.

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The morphism – some ideas [1 of 7]

Recall the above structure: Let $\alpha = 1 \ 2 \ 1 \ 3 \ 4 \ 2 \ 4 \ 3$.

b a b b a a a b a a

b a b b a a a b a a

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First observation:

In the given example, ambiguity is caused by the fact that the morphic images of "1" and "4" end with the same letter, namely a.

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Second observation:

For every occurrence of "1" and "4", the right neighbour reads either "2" or "3", and the latter variables have no other left neighbours in α .

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The morphism – some ideas [2 of 7]

A first idea...

For every variable i in a pattern α , identify the set L_i (resp. R_i) of all of its **left** (resp. **right**) **neighbours**. Choose σ_α such that each L_i (resp. R_i) contains variables that have morphic images with different last (resp. first) letters.

In other words: Choose σ_α such that each L_i (resp. R_i) is **(morphically) heterogeneous**.

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...that does not work:

Example: Let $\alpha = 1\ 2\ 3\ 2\ 1\ 3\ 1$.

→ $L_1 = \{2, 3\}$, $L_2 = \{1, 3\}$, $L_3 = \{1, 2\}$

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Example: Let $\alpha = 1\ 2\ 3\ 2\ 1\ 3\ 1$.

$$\rightarrow L_1 = \{2, 3\}, \quad L_2 = \{1, 3\}, \quad L_3 = \{1, 2\}$$

$$\Rightarrow \begin{aligned} \sigma_\alpha(2) &= \dots \mathbf{a} \\ \sigma_\alpha(3) &= \dots \mathbf{b} \end{aligned}$$

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Example: Let $\alpha = 1\ 2\ 3\ 2\ 1\ 3\ 1$.

$$\begin{aligned} \rightarrow \quad & L_1 = \{2, 3\}, & L_2 = \{1, 3\}, & L_3 = \{1, 2\} \\ \Rightarrow \quad & \sigma_\alpha(2) = \dots \mathbf{a} & \sigma_\alpha(1) = \dots \mathbf{a} & \sigma_\alpha(1) = \dots \mathbf{a} \\ & \sigma_\alpha(3) = \dots \mathbf{b} & \sigma_\alpha(3) = \dots \mathbf{b} & \sigma_\alpha(2) = \dots \mathbf{a} \end{aligned}$$

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The morphism – some ideas [3 of 7]

Question:

Can we weaken the claim that **each** L_i (resp. R_i) must be morphically heterogeneous?

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Example:

Let $\alpha = \underline{1} \underline{2} \underline{3} \underline{2} \underline{4} \underline{5} \underline{3} \underline{5} \underline{1} \underline{6} \underline{4} \underline{6}$.

$\rightarrow L_2 = \{1, 3\}, \quad L_5 = \{4, 3\}, \quad L_6 = \{1, 4\}$

$\dots \mathbf{a} \sigma_\alpha(2) \dots \mathbf{b} \sigma_\alpha(2) \dots \mathbf{a} \sigma_\alpha(5) \dots \mathbf{b} \sigma_\alpha(5) \dots \mathbf{a} \sigma_\alpha(6) \dots \mathbf{a} \sigma_\alpha(6)$

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The morphism – some ideas [3 of 7]

Question:

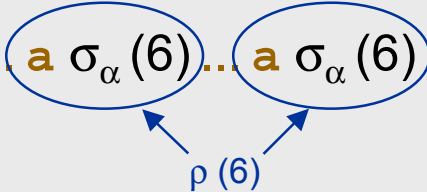
Can we weaken the claim that **each** L_i (resp. R_i) must be morphically heterogeneous?

Example:

Let $\alpha = \underline{1} \underline{2} \underline{3} \underline{2} \underline{4} \underline{5} \underline{3} \underline{5} \underline{1} \underline{6} \underline{4} \underline{6}$.

$\rightarrow L_2 = \{1, 3\}, \quad L_5 = \{4, 3\}, \quad L_6 = \{1, 4\}$

$\dots \mathbf{a} \sigma_\alpha(2) \dots \mathbf{b} \sigma_\alpha(2) \dots \mathbf{a} \sigma_\alpha(5) \dots \mathbf{b} \sigma_\alpha(5) \dots \mathbf{a} \sigma_\alpha(6) \dots \mathbf{a} \sigma_\alpha(6)$



$\Rightarrow \rho(6) = \mathbf{a} \sigma_\alpha(6)$

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The morphism – some ideas [3 of 7]

Question:

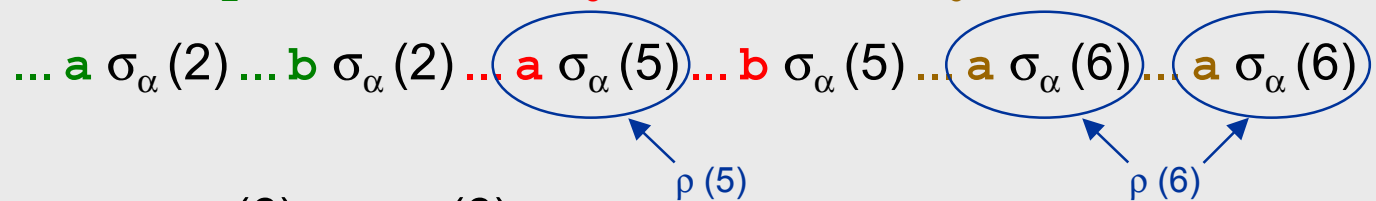
Can we weaken the claim that **each** L_i (resp. R_i) must be morphically heterogeneous?

Example:

Let $\alpha = \underline{1} \underline{2} \underline{3} \underline{2} \underline{4} \underline{5} \underline{3} \underline{5} \underline{1} \underline{6} \underline{4} \underline{6}$.

$\rightarrow L_2 = \{1, 3\}, \quad L_5 = \{4, 3\}, \quad L_6 = \{1, 4\}$

$\dots \mathbf{a} \sigma_\alpha(2) \dots \mathbf{b} \sigma_\alpha(2) \dots \mathbf{a} \sigma_\alpha(5) \dots \mathbf{b} \sigma_\alpha(5) \dots \mathbf{a} \sigma_\alpha(6) \dots \mathbf{a} \sigma_\alpha(6)$



$\Rightarrow \rho(6) = \mathbf{a} \sigma_\alpha(6)$

$\Rightarrow \rho(5) = \mathbf{a} \sigma_\alpha(5)$

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The morphism – some ideas [3 of 7]

Question:

Can we weaken the claim that **each** L_i (resp. R_i) must be morphically heterogeneous?

Example:

Let $\alpha = \underline{1} \underline{2} \underline{3} \underline{2} \underline{4} \underline{5} \underline{3} \underline{5} \underline{1} \underline{6} \underline{4} \underline{6}$.

→ $L_2 = \{1, 3\}$, $L_5 = \{4, 3\}$, $L_6 = \{1, 4\}$

... $\mathbf{a} \sigma_\alpha(2)$... $\mathbf{b} \sigma_\alpha(2)$... $\mathbf{a} \sigma_\alpha(5)$... $\mathbf{b} \sigma_\alpha(5)$... $\mathbf{a} \sigma_\alpha(6)$... $\mathbf{a} \sigma_\alpha(6)$

$\rho(5)$ $\rho(6)$

⇒ $\rho(6) = \mathbf{a} \sigma_\alpha(6)$

⇒ $\rho(5) = \mathbf{a} \sigma_\alpha(5)$

but then $\rho(5) = \mathbf{b} \sigma_\alpha(5)$. Thus, there is no morphism ρ .

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The morphism – some ideas [4 of 7]

Observation:

Whenever, for some $j, k \in \text{var}(\alpha)$, $L_j \cap L_k \neq \emptyset$ then the heterogeneity of L_j "protects" the images of the variables in L_k and vice versa.

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The morphism – some ideas [4 of 7]

Observation:

Whenever, for some $j, k \in \text{var}(\alpha)$, $L_j \cap L_k \neq \emptyset$ then the heterogeneity of L_j "protects" the images of the variables in L_k and vice versa.

In fact, this property even is "transitive", i.e. if, for some $j, k \in \text{var}(\alpha)$, there exist arbitrary $L_{k,1}, L_{k,2}, \dots, L_{k,p}$ with

- $L_{k,i} \cap L_{k,i+1} \neq \emptyset$ and
- $L_{k,1} = L_j$ and $L_{k,p} = L_k$

then the heterogeneity of L_j makes an alternative morphic image for k impossible.

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The morphism – some ideas [5 of 7]

Idea:

We introduce an **equivalence relation** \sim_L on $\text{var}(\alpha)$:

For each pair $j, j' \in \text{var}(\alpha)$, $j \sim_L j'$ if and only if there exist $L_{k,1}, L_{k,2}, \dots, L_{k,p}$ with

- $L_{k,i} \cap L_{k,i+1} \neq \emptyset$ and
- $j \in L_{k,1}$ and $j' \in L_{k,p}$.

We name the resulting equivalence classes $\mathcal{L}_1, \mathcal{L}_2, \dots, \mathcal{L}_m$. Thus, $\mathcal{L}_1 \cup \mathcal{L}_2 \cup \dots \cup \mathcal{L}_m = \text{var}(\alpha)$, and the \mathcal{L}_i are **pairwise disjoint**.

Remark:

Analogously, we introduce a relation \sim_R .

Motivation

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The morphism – some ideas [6 of 7]

Example:

Let $\alpha = 1\ 2\ 3\ 1\ 2\ 3\ 1\ 3\ 4\ 5\ 6\ 4\ 6\ 5$.

$$\rightarrow L_1 = \{3\}, L_2 = \{1\}, L_3 = \{1, 2\}, \\ L_4 = \{3, 6\}, L_5 = \{4, 6\}, L_6 = \{4, 5\}$$

$$\rightarrow \mathcal{L}_1 = \{1, 2\}, \mathcal{L}_2 = \{3, 4, 5, 6\}$$

Motivation

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The morphism – some ideas [6 of 7]

Example:

Let $\alpha = 1\ 2\ 3\ 1\ 2\ 3\ 1\ 3\ 4\ 5\ 6\ 4\ 6\ 5$.

$$\rightarrow \quad L_1 = \{3\}, L_2 = \{1\}, L_3 = \{1, 2\}, \\ L_4 = \{3, 6\}, L_5 = \{4, 6\}, L_6 = \{4, 5\}$$

$$\rightarrow \quad \mathcal{L}_1 = \{1, 2\}, \mathcal{L}_2 = \{3, 4, 5, 6\}$$

Conclusion:

For our needs, it is sufficient that each \mathcal{L}_i (resp. \mathcal{R}_i) is morphically heterogeneous.

As these sets are pairwise disjoint, there are **no conflicting assignments** when composing σ_α .

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The morphism – some ideas [7 of 7]

Conclusion:

For every succinct pattern α , the following morphism σ_α generates an unambiguous word:

$$\sigma_\alpha(k) = \begin{cases} \mathbf{a} b^{3k} \mathbf{a} \mathbf{a} b^{3k+1} \mathbf{a} \mathbf{a} b^{3k+2} \mathbf{a} , \\ \quad \nexists i: k = \min \mathcal{L}_i \wedge \nexists j: k = \min \mathcal{R}_j , \\ \mathbf{b} \mathbf{a}^{3k} \mathbf{b} \mathbf{a} b^{3k+1} \mathbf{a} \mathbf{a} b^{3k+2} \mathbf{a} , \\ \quad \nexists i: k = \min \mathcal{L}_i \wedge \exists j: k = \min \mathcal{R}_j , \\ \mathbf{a} b^{3k} \mathbf{a} \mathbf{a} b^{3k+1} \mathbf{a} \mathbf{b} \mathbf{a}^{3k+2} \mathbf{b} , \\ \quad \exists i: k = \min \mathcal{L}_i \wedge \nexists j: k = \min \mathcal{R}_j , \\ \mathbf{b} \mathbf{a}^{3k} \mathbf{b} \mathbf{a} b^{3k+1} \mathbf{a} \mathbf{b} \mathbf{a}^{3k+2} \mathbf{b} , \\ \quad \exists i: k = \min \mathcal{L}_i \wedge \exists j: k = \min \mathcal{R}_j , \end{cases}$$

$k \in \text{var}(\alpha)$.

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Conclusion

1. There is no nonerasing morphism which, for every pattern, generates an unambiguous word.

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Conclusion

Conclusion

1. There is no nonerasing morphism which, for every pattern, generates an unambiguous word.
2. The image of any prolix pattern under any nonerasing morphism is not unambiguous.

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Results

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The
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Conclusion

1. There is no nonerasing morphism which, for every pattern, generates an unambiguous word.
2. The image of any prolix pattern under any nonerasing morphism is not unambiguous.
3. There is no nonerasing morphism which, for every succinct pattern, generates an unambiguous word.

Conclusion

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Conclusion

1. There is no nonerasing morphism which, for every pattern, generates an unambiguous word.
2. The image of any prolix pattern under any nonerasing morphism is not unambiguous.
3. There is no nonerasing morphism which, for every succinct pattern, generates an unambiguous word.
4. For every succinct pattern there is an injective morphism generating an unambiguous word.